

## Transportation Conformity Information for Transportation Improvement Program (TIP)<sup>1</sup> Amendments

Type of Amendment	New TIP Conformity Determination?	New Regional Emissions Analysis?	Conformity Information
<p>1. Minor Changes</p> <ul style="list-style-type: none"> <li>e.g. changes consistent with Caltrans' administrative amendment procedures</li> </ul>	No	No	<p>Transmittal Information:</p> <ul style="list-style-type: none"> <li>A statement describing the change being made.</li> <li>A statement that the change is consistent with the administrative amendment procedures.</li> <li>If the project is a non-exempt, regionally significant project, a statement that the change does not reflect a change in the design concept and scope of the project or the conformity analysis years as assumed for the regional emissions analysis of the currently conforming RTP and TIP.</li> <li>If applicable, a statement that the change for this project do not interfere the timely implementation of any approved TCMs.</li> </ul> <p>Conformity Procedures/Documentation:</p> <ul style="list-style-type: none"> <li>No additional documentation required.</li> </ul>
<p>2. Formal Amendment - Funding Changes &gt; allowed as a Minor Change -</p> <ul style="list-style-type: none"> <li>e.g. funding changes greater than allowed per Caltrans' administrative procedures</li> </ul>	No	No	<p>Transmittal Information:</p> <ul style="list-style-type: none"> <li>A statement describing the change being made.</li> <li>If the project is a non-exempt, regionally significant project, a statement that the change does not reflect a change in the design concept and scope of the project or the conformity analysis years as assumed for the regional emissions analysis of the currently conforming RTP and TIP.</li> <li>If applicable, a statement that the cost changes for this project do not interfere the timely implementation of any approved TCMs.</li> <li>A statement that the TIP as amended meets all applicable transportation planning requirements per 23 CFR Part 450 (e.g. financial constraint, public involvement and consistency with the RTP).</li> </ul>

<sup>1</sup> TIP is the generic term for the federally-recognized, metropolitan area transportation improvement program for which FHWA and FTA make a conformity determination. In California, it is referred to by different MPOs as the MTIP, RTIP and FTIP.

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			Conformity Procedures/Documentation: <ul style="list-style-type: none"> <li>o No additional documentation required.</li> </ul>
3. Formal Amendment - Exempt Projects (2) - <ul style="list-style-type: none"> <li>• e.g. add/delete exempt project or project phases to/from the TIP</li> <li>• e.g. add environmental studies for a non-exempt project to the TIP (environmental document cannot be approved)</li> </ul>	No	No	Transmittal Information: <ul style="list-style-type: none"> <li>o A statement describing the change being made.</li> <li>o A statement that the project was determined to be exempt from the requirement that a conformity determination and/or regional emissions analysis be performed per 40 CFR 93.126, 93.127 or 93.128.</li> <li>o A statement that because the projects are exempt, no further conformity determination is required.</li> <li>o If applicable, a statement that the changes do not interfere the timely implementation of any approved TCMs.</li> <li>o A statement that the amendment was circulated for the appropriate public and interagency comment period per the MPOs public involvement procedures and the conformity SIP, respectively.</li> <li>o A statement that the TIP as amended meets all applicable transportation planning requirements per 23 CFR Part 450 (e.g. financial constraint, public involvement and consistency with the RTP).</li> </ul> Conformity Procedures/Documentation: <ul style="list-style-type: none"> <li>o No additional documentation required.</li> <li>o The amendment should be circulated through interagency consultation consistent with the procedures contained in the nonattainment area conformity SIP.</li> </ul>

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<p>4. Formal Amendment - Conformity Determinations and Relies on a Previous Regional Emissions Analysis -</p> <ul style="list-style-type: none"> <li>e.g. adding a regionally significant project to the TIP when it has already been appropriately accounted for in the regional emissions analysis for the RTP</li> <li>e.g. adding a non-regionally significant project to the TIP</li> </ul>	Yes	No	<p>Transmittal Information:</p> <ul style="list-style-type: none"> <li>A statement describing the change being made (e.g. the project is a non-regionally significant, non-exempt project <u>or</u> the project is a regionally significant project).</li> <li>TIP as amended meets the following transportation conformity provisions 40 CFR 93.122(g).</li> <li>A statement that the conformity determination was based on the previous regional emissions analysis. Include the name of the RTP and the date of the MPO and USDOT conformity determinations.</li> <li>If applicable (i.e. if the project is a non-regionally significant project that was not included in the RTP), a statement that the RTP was also amended.</li> <li>A statement that the TIP as amended meets all applicable transportation planning requirements per 23 CFR Part 450 (e.g. financial constraint, public involvement and consistency with the RTP).</li> <li>If applicable, a statement that the changes do not interfere the timely implementation of any approved TCMs.</li> <li>A statement that the TIP as amended was found to conform to the applicable SIP.</li> </ul> <p>Conformity Procedures/Documentation:</p> <ul style="list-style-type: none"> <li>Document interagency consultation per conformity SIP.</li> <li>Document public involvement per public involvement procedures.</li> <li>Document conditions of 40 CFR 93.122(g) including reference to documentation of currently conforming RTP and TIP and the dates of the MPO and USDOT conformity determination.</li> <li>Document financial constraint.</li> <li>Document timely implementation of approved TCMs.</li> <li>Include MPO conformity determination (resolution).</li> <li>Include summary of emissions budget test or interim emissions test from currently conforming RTP and TIP.</li> <li>If applicable (i.e. if the project is a non-regionally significant project that was not included in the RTP), document that the RTP was also amended.</li> </ul>

Type of Amendment	New TIP Conformity Determination?	New Regional Emissions Analysis?	Conformity Information
<p>5. Formal Amendment, Conformity Determination and New Regional Emissions Analysis -</p> <ul style="list-style-type: none"> <li>e.g. add non-exempt, regionally significant project that has not been accounted for in the regional emissions analysis</li> <li>e.g. change in non-exempt, regionally significant project that is not consistent with the design concept and scope or the conformity analysis years</li> </ul>	Yes	Yes	<p>Transmittal Information:</p> <ul style="list-style-type: none"> <li>A statement describing the change being made (e.g. a new regional emissions analysis because a non-exempt project was: 1) added; 2) deleted; 3) there was a significant in the design concept and scope of a regionally significant non-exempt project; or 4) conformity analysis year changed for a regionally significant, nonexempt project).</li> <li>A statement that the TIP as amended meets all applicable transportation planning requirements per 23 CFR Part 450 (e.g. financial constraint, public involvement and consistency with the RTP).</li> <li>If applicable (i.e. if the project was not included in the RTP), a statement that the RTP was also amended.</li> <li>A statement that a new regional emissions analysis was performed.</li> <li>If applicable, a statement that the changes do not interfere the timely implementation of any approved TCMs.</li> <li>A statement that the TIP as amended conforms to the applicable SIP.</li> </ul> <p>Conformity Procedures/Documentation:</p> <ul style="list-style-type: none"> <li><i>Most MPOs have standard documentation and procedures they use for a conformity determination that includes a new regional emissions analysis. To date, the documentation and procedures have been adequate. FHWA/FTA will work with MPOs on an individual basis to address any concerns relating to this type of amendment.</i></li> </ul>